



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Arthur S. HASELTINE

Application No.: 09/804,586

Examiner: not yet known

Filed: March 12, 2001

Art Unit: 2165

For: METHOD AND SYSTEM PROVIDING OUT-SOURCED,
MERCHANDISE RETURN SERVICES, AND
EXCHANGE AND ESCROW SERVICES

CERTIFICATE UNDER 37 CFR 1.8(a)
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE
ADDRESSED TO THE ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, D.C. 20231

ON 10-5-01
Jonathan Bay
REGISTRATION NO. 35064
DATE 10-5-01

INFORMATION
DISCLOSURE STATEMENT

Attn: Box Non-Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Duty of Disclosure set forth in 37 CFR 1.56, the references listed on the enclosed form PTO-1449 are hereby brought to the attention of the Examiner. A copy of each is enclosed.

The enclosed references are submitted as belonging to the background of the invention. Indeed not all of the references are technically prior art but instead are submitted for supplying marketplace perspective. The claimed invention is believed patentable over the enclosed references. The examiner is requested to make an independent judgment.

Respectfully submitted,

Date:

Oct. 5, 2001

Jonathan A. Bay
Jonathan A. Bay

Registration No. 35,064

Attorney at Law

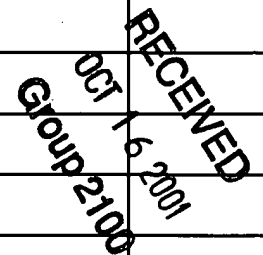
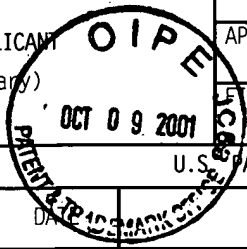
333 Park Central East, Ste. 314

Springfield, MO 65806

(417) 873-9100

Docket No. 437-5

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 437-5		SERIAL NO. 09/804.586	
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary) Page 1 of 3				APPLICANT Arthur S. HASELTINE			
				FILING DATE March 12, 2001		GROUP 2165	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AL						
	AM						
	AN						
	AO						
	AP						
OTHER PRIOR ART (Including Author, title, Date, Pertinent Pates, Etc.)							
	AR	Jacob Ward, "E-Christmas: Many Unhappy Returns?" (December 21, 1998), http://www.thestandard.com/article/display/0,1151,2924,00.html .					
	AS	Luisa Beltran, "Many Unhappy Returns" (November 15, 1999) http://www.thestandard.com/article/display/0,1151,7458,00.html .					
	AT	Rebecca Quick, "Returns to Sender." Wall Street Journal, p. R8 (July 17, 2000).					



EXAMINER:
DATE CONSIDERED:

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 437-5		SERIAL NO. 09/804,586	
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary) Page 2 of 3				APPLICANT Arthur S. HASELTINE			
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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	BA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						
	BI						
	BJ						
	BK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	BL						
	BM						
	BN						
	BO						
	BP						
OTHER PRIOR ART (Including Author, title, Date, Pertinent Pates, Etc.)							
	BR	MBE Press Release, "EBay teams with Mail Boxes Etc. and Iship.com to provide person-to-person E-commerce shipping solutions (April 12, 1999). http://www.mbe.com/AboutMBE/PressReleases/PR_041299.htm					
	BS	BizReport, "EBay plans to provide Integrated Shipping" (April 12, 1999). http://www.bizreport.com/news/1999/04/990412-2.htm					
	BT	ZDNet News Bursts, "EBay in Shipping Pacts" (April 12, 1999). http://www.zdnet.com/zdnn/filters/bursts/0,3422,2240212,00.html					

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LIST OF PRIOR ART CITED BY APPLICANT

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APPLICANT

Arthur S. HASELTINE

FILING DATE

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GROUP

2165

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA						
	CC						
	CD						
	CE						
	CF						
	CG						
	CH						
	CI						
	CJ						
	CK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	CL							
	CM							
	CN							
	CO							
	CP							

OTHER PRIOR ART (Including Author, title, Date, Pertinent Pates, Etc.)

CR	Lauren Gibbons Paul, "P.O.'d: Project Failure (Part 1)." <u>Darwin Online</u> (May 2001).		
	http://www.darwinmag.com/read/050101/po_content.html?Page=1		
CS	L.G. Paul, "P.O.'d: Project Failure (Part 2). The Nightmare Begins." <u>Darwin Online</u> (May 2001).		
	http://www.darwinmag.com/read/050101/po_content.html?Page=2		
CT			

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DATE CONSIDERED: